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11058 U.S. PTO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 015358-007200US

First Inventor Hull, Jonathan J.

Title TECHNIQUES FOR RETRIEVING MULTIMEDIA INFORMATION USING A PAPER-BASED INTERFACE

Express Mail Label No. EL 265 818 868 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 78]
(preferred arrangement set forth below)
- Descriptive title of the Invention (Title Page)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 34]

5. Declaration and Power of Attorney [Total Pages 2]

a. ☒ Newly unexecuted (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper number of pages

c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 C.F.R. § 3.73(b) Statement of Attorney (when there is an assignee) ☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

17. ☐ Other:

Do not charge the application fee at this time

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 20350 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name _____

Address _____

City _____ State _____ Zip Code _____

Country _____ Telephone _____ Fax _____

Name (Print/Type) Sujit B. Kotwal Registration No. (Attorney/Agent) 43,336

Signature S. B. Kotwal Date November 19, 2001

"Express Mail" Label No.: EL 265 818 868 US Date of Deposit: November 19, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

By: S. B. Kotwal

PA 3184506 v1

I hereby certify that this correspondence is being deposited with the United States Postal Service as registered first class mail in an envelope addressed to:

PATENT
Attorney Docket No.: 015358-007200US
Client Reference No.: ID-RSV-263C

BOX FILING DATE
Assistant Commissioner for Patents
Washington, D.C. 20231

On November 21, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: S.B. Kotwal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JONATHAN J. HULL et al.

Application No.: Unassigned

Attempted Filing Date: November 19, 2001

For: TECHNIQUES FOR RETRIEVING
MULTIMEDIA INFORMATION USING
A PAPER-BASED INTERFACE

Examiner: Unassigned

Art Unit: Unassigned

STATEMENT UNDER 37 CFR § 1.6(e)
THAT USPS REFUSED TO ACCEPT
EXPRESS MAIL CORRESPONDENCE

*Receipt date granted
is 11/19/01.
J. Chang*

Box Filing Date
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

My name is Sujit B. Kotwal, registration number 43,336. I am a patent attorney working at Townsend and Townsend and Crew LLP.

This statement is being mailed as registered first class mail along with a sealed Express Mail envelope having label "EL 265 818 868 US" addressed to Box Patent Application, Assistant Commissioner of Patents & Trademarks, Washington, D.C., 20231-0001. This Express Mail envelope contains a patent application titled

"Techniques For Retrieving Multimedia Information Using A Paper-Based Interface" by Jonathan J. Hull et al. (Attorney Docket Number 015358-007200US).

On November 19, 2001, I arrived at the Hamilton Street, Palo Alto, CA, post office at approximately 4:30 PM with the intention of depositing the enclosed Express Mail envelope (having label "EL 265 818 868 US") with the United States Postal Service under 37 CFR § 1.10.

The postal clerk at the Palo Alto post office refused to accept this Express Mail envelope, because on Friday, November 16, 2001, Patrick R. Donahoe, Chief Operating Officer and Executive Vice President of the United States Postal Service (USPS) issued a memorandum temporarily and immediately suspending Express Mail service to Washington, D.C., ZIP Codes 202xx through 205xx (e.g., 20231). The United States Patent and Trademark Office has designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 USC § 21(a) and 37 CFR § 1.6(e).

I submit that the above identified Express Mail correspondence would have been filed on November 19, 2001, if it were not for the designated interruption or emergency in the United States Postal Service. Accordingly, I request under the provisions of 37 CFR § 1.6(e) that the enclosed application (Attorney Docket Number 015358-007200US) be granted a filing date of November 19, 2001.

If I can be of further assistance, please call me at 650-326-2400.

Respectfully submitted,

S. B. Kotwal

Sujit, B. Kotwal
Reg. No. 43,336

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**NONPUBLICATION REQUEST
CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Hull, Jonathan J.

Title

TECHNIQUES FOR RETRIEVING MULTIMEDIA INFORMATION
USING A PAPER-BASED INTERFACE

Atty Docket Number

015358-007200US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 19, 2001

Date

S. B. Kotwal

Signature

Sujit B. Kotwal, Reg. No. 43,336

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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